

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 12-2004)		ATTORNEY'S DOCKET NUMBER 66435-007	
<b>TRANSMITTAL LETTER TO THE UNITED STATES</b> <b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b> <b>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		<b>U.S. APPLICATION NO. (If known, see 37 CFR 1.6)</b> <b>101528030</b>	
INTERNATIONAL APPLICATION NO. PCT/GB2003/003176	INTERNATIONAL FILING DATE 25 JULY 2003	PRIORITY DATE CLAIMED 25 JULY 2002	
<b>TITLE OF INVENTION</b> <b>THERMAL COMPRESSIVE DEVICE</b>			
<b>APPLICANT(S) FOR DO/EO/US</b> <b>CRITOPH, Robert Edward; and TAMAINOT-TELTO, Zacharie</b>			
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ul> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol> <p><b>Items 13 to 23 below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</li> <li>22. <input type="checkbox"/> Express Mail Label No.</li> <li>23. <input type="checkbox"/> Other items or information:</li> </ol>			

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. <b>PCT/GB2003/003176</b>		ATTORNEY'S DOCKET NUMBER <b>66435-016-7</b>																
<p>24. The following fees are submitted:</p> <table> <tr> <td><input type="checkbox"/></td> <td>a) Basic national fee .....</td> <td>\$300.00</td> <td>Applicant use</td> <td>Office use</td> </tr> <tr> <td><input type="checkbox"/></td> <td>b) Examination fee .....</td> <td>\$200.00</td> <td>\$</td> <td>\$0.00</td> </tr> <tr> <td><input type="checkbox"/></td> <td>c) Search fee .....</td> <td>\$500.00</td> <td>\$</td> <td>\$0.00</td> </tr> </table> <p><b>TOTAL OF ABOVE CALCULATIONS =</b> <b>\$1000.00</b></p> <p><input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.</p>						<input type="checkbox"/>	a) Basic national fee .....	\$300.00	Applicant use	Office use	<input type="checkbox"/>	b) Examination fee .....	\$200.00	\$	\$0.00	<input type="checkbox"/>	c) Search fee .....	\$500.00	\$	\$0.00
<input type="checkbox"/>	a) Basic national fee .....	\$300.00	Applicant use	Office use																
<input type="checkbox"/>	b) Examination fee .....	\$200.00	\$	\$0.00																
<input type="checkbox"/>	c) Search fee .....	\$500.00	\$	\$0.00																
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE																	
- 100 =	/50 =		x \$250.00	\$	\$0.00															
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).																				
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																	
Total claims	- 20 =	0	x \$50.00	\$	\$0.00															
Independent claims	- 3 =	0	x \$200.00	\$	\$0.00															
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00																				
<b>TOTAL OF ABOVE CALCULATIONS =</b> <b>\$0.00</b>																				
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.																				
<b>SUBTOTAL =</b> <b>\$0.00</b>																				
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).																				
<b>TOTAL NATIONAL FEE =</b> <b>\$0.00</b>																				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$0.00																				
<b>TOTAL FEES ENCLOSED =</b> <b>\$0.00</b>																				
Amount to be refunded: Amount to be charged: \$																				
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is _____.</p> <p>c. <input type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>																				
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</b></p> <p>SEND ALL CORRESPONDENCE TO: <b>CUSTOMER NO. 25269</b></p> <p> SIGNATURE</p> <p><b>RICHARD H. TUSHIN</b></p> <p>NAME _____</p> <p><b>27,297</b></p> <p>REGISTRATION NUMBER _____</p>																				

66435-016-7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) PATENT  
                          )  
Robert Edward CRITOPH et al. ) GROUP:  
                          )  
Serial No.: (PCT/GB2003/003176) ) EXAMINER:  
                          )  
Filed: (25 July 2003)       ) CUSTOMER NO.: 25269  
                          )  
THERMAL COMPRESSIVE DEVICE )

\* \* \* \* \*

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

January 28, 2005

Sir:

The inventor herewith submits copies of the documents identified in  
the International Search Report, dated 3 December 2003.

Respectfully submitted,

DYKEMA GOSSETT PLLC

By:



Richard H. Tushin  
Registration No. 27,297  
Franklin Square, Third Floor West  
1300 I Street N.W.  
Washington, DC 20005-3353  
(202) 906-8600

(January 28, 2005)

Sheet 1 of 1

<b>INFORMATION DISCLOSURE STATEMENT</b> <b>Form PTO-1449</b> (Use several sheets if necessary)		ATTY. DOCKET NO. <b>66435-016-7</b>	SERIAL NO. <b>(PCT/GB2003/00376)</b>
		APPLICANT <b>Robert Edward CRITOPH et al.</b>	
		FILING DATE <b>(23 July 2003)</b>	GROUP

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	<b>4,924,676</b>	<b>5/1990</b>	<b>Maier-Laxhuber et al.</b>			
	<b>2,338,712</b>	<b>1/1944</b>	<b>Erland af Kleen</b>			
	<b>5,328,671</b>	<b>7/1994</b>	<b>Rockefeller</b>			
	<b>5,404,728</b>	<b>4/1995</b>	<b>Maier-Laxhuber</b>			
	<b>4,637,218</b>	<b>1/1987</b>	<b>Tchernev</b>			
	<b>5,526,653</b>	<b>6/1996</b>	<b>Cheng</b>			
	<b>6,213,197</b>	<b>4/2001</b>	<b>Ebbeson</b>			

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	<b>3604909</b>	<b>8/1987</b>	<b>Germany</b>				<b>X</b>
	<b>744759</b>	<b>4/1933</b>	<b>France</b>				<b>X</b>
	<b>19539105</b>	<b>4/1997</b>	<b>Germany</b>				<b>X</b>
	<b>4437950</b>	<b>4/1996</b>	<b>Germany</b>				<b>X</b>

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER	DATE CONSIDERED

Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.